

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A.S. Hoffman et al. Attorney Docket No.: UWOTL119001  
Application No.: 09/755,701 Art Unit: 1614 / Confirmation No.: 3998  
Filed: January 5, 2001 Examiner: R.J. Henley, III  
Title: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101  
December 29, 2009

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:  
ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated September 2009 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs is included in this request.

II. Fees Charged for Which Refund Requested

	Amount of Fee Requested
2202 - Claims in excess of 20 (7@\$26 each)	182.00
<u>Total Refund Requested:</u>	<b>\$182.00</b>

III. Explanation of Why Contested Charge Is in Error

At the time the application was originally filed on January 5, 2001, the initial filing fee of \$355, multiple dependent claim fee of \$135, and additional claim fee in the amount of \$270 for 30 claims over 20, were paid (see attached Fee Transmittal and USPTO Fee Record Sheet). When the RCE and Amendment Submitted With RCE were filed on September 28, 2009, a total of 31 claims were submitted. As the original number of claims paid for was 50, no additional claim fee was due.

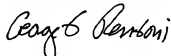
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>RLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

GER:mjd

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

**Requested Statement Month:** September 2009  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Street Address 1:** 2800 PACIFIC FIRST CENTRE  
**Street Address 2:** 1420 FIFTH AVENUE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
09/02	45	12411090	VOPA133077	2251	-\$65.00	\$19,301.12
09/03	10610	77585341	KPEP-6-3137	7402	\$300.00	\$19,001.12
09/03	9	SUBSCRIPTION		8001	\$3.00	\$18,998.12
09/03	19	12411090	VOPA133077	2252	\$65.00	\$18,933.12
09/03	50	10937060	MS 309089.01	1801	-\$810.00	\$19,743.12
09/08	4	79059387	MADRID.20081117	6005	\$100.00	\$19,643.12
09/08	58	0859247	SUNOST-4-7648	6005	\$100.00	\$19,543.12
09/09	7293	12550036	SGIP133733	8021	\$40.00	\$19,503.12
09/09	10833	61240642	MADEAW133768	1005	\$220.00	\$19,283.12
09/10	15	SUBSCRIPTION		8001	\$6.00	\$19,277.12
09/10	3	SUBSCRIPTION		8001	\$3.00	\$19,274.12
09/10	19	10517248	MICS124074	2202	-\$26.00	\$19,300.12
09/10	2	11835269	TALY129909	2202	\$104.00	\$19,196.12
09/10	14734	78663596	CAWE	7005	\$100.00	\$19,096.12
09/10	14735	78663596	CAWE	7004	\$450.00	\$18,646.12
09/14	1	12303078	MASQ132271	1616	\$390.00	\$18,256.12
09/14	2	12303078	MASQ132271	1615	\$520.00	\$17,736.12
09/14	3	12303078	MASQ132271	1681	\$810.00	\$16,926.12
09/14	1	11244563	LEPA125238	1252	\$330.00	\$16,596.12
09/14	14444	77363769	JPDU-2-36248	7003	\$100.00	\$16,496.12
09/17	15	SUBSCRIPTION		8001	\$3.00	\$16,493.12
09/22	1	12369675	THAS132957	2201	\$110.00	\$16,383.12
09/24	2011	77647735	KZOO-6-3142	7402	\$300.00	\$16,083.12
09/24	3	SUBSCRIPTION		8001	\$6.00	\$16,077.12
09/25	6	11514753	128014	1201	-\$220.00	\$16,297.12
09/28	7	77174703	LOLU-2-35464	7001	-\$650.00	\$16,947.12

09/28 8	77977962		7001	\$650.00	\$16,297.12
09/29 9	09755701	UWOTL119001	2202	\$182.00	\$16,115.12
09/30 35	11835269	TALY129909	2202	-\$104.00	\$16,219.12
	START	SUM OF	SUM OF	END	
	BALANCE	CHARGES	REPLENISH	BALANCE	
	\$19,236.12	\$4,892.00	\$1,875.00	\$16,219.12	

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	January 5, 2001
First Named Inventor	Allan S. Hoffman
Examiner Name	
Group Art Unit	
Attorney Contact No.	UWS 105

TOTAL AMOUNT OF PAYMENT (\$760.00)

**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

01-2507

Amall Golden &amp; Gregory, LLC

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other
**FEE CALCULATION****1. BASIC FILING FEE**Large Entity Small Entity  
Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

Fee Paid

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
50 -20	= 30	9.00	270.00
Independent Claims	3 -3	= 0	0
Multiple Dependent		135.0	135.00

Large Entity Small Entity

Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$405.00)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**Large Entity Small Entity  
Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Fee Paid

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type)

Robert A. Hodges

Registration No.  
(Attorney/Agent)

41,074

**Complete (if applicable)**

Telephone

404-873-8796

Signature

Date

January 5, 2001

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09755701-010501

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/10/2001 HNDOR1 00000034 09755701

01 FC:201	355.00 DP
02 FC:203	270.00 DP
03 FC:204	135.00 DP

06/12/2001 EVORACHA 00000010 012507 09755701

01 FC:203	810.00 CH
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